DOCF NO.: <u>FUJS 17.902</u> F. 51.9902235-US

PTO/SB/106 (8-96)
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

| 下っの氏名の発明者として、私は以下の通り宣言します。 | As a below named inventor, I hereby declare that: |
|---|---|
| 私の住所、私書籍、国籍は下記の私の氏名の後に記載された通りです。 | My residence, post office address and citizenship are as stated next to my name. |
| 下記の名称の発明に関して請求範囲に記載され、特許出頭している発明内容について、私が最初かつ唯一の発明者(下記の氏名が一つの場合)もしくは最初かつ共同発明者であると(下記の名称が複数の場合)信じています。 | I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled |
| <u> </u> | OPTICAL TRANSMITTING APPARATUS AND |
| | OPTICAL TRANSMITTING METHOD FOR |
| | RING TRANSMISSION SYSTEM |
| 上記発明の明細書 (下記の欄でx引がついていない場合は、本書に添付) は、 | the specification of which is attached hereto unless the following box is checked: |
| □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ | was filed on |
| 私は、特許請求範囲を含む上記訂正後の明細書を検討し、 内容を理解していることをここに表明します。 | I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. |
| 私は、運邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。 | I acknowledge the duty to disclose information which is material to patentability as defined in Title 37. Code of Federal Regulations, Section 1.56. |
| | |

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Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35福119条 (a) - (d) 項又は365条 (b) 項に基き下記の、 米 国以外の国の少なくとも一ヵ国を指定している特許協力条約 365 (a) 項に基ずく国際出願、又は外国での特許出顧もしくは発明者証の出顧についての外国優先権をここに主張するとともに、優先権を主張している、本出類の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Patent Applications
Prior Foreign Application(s)

外国での先行出版 HEI 11-302582 Japan (Number) (Country) (番号) (国名) 2000-225992 Japan (Number) (Country) (番号) (国名)

私に、第35編米国法典119条(e)項に基いて下記の米 国特許出顧規定に記載された権利をここに主張いたします。

(Application No.) (Filing Date) (出頗番号) (出頗日)

私は、下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利。 又は米国を指定している特許協力条約365条(c)に基ずく権利をここに主張します。また、本出顧の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出顧香提出日以降で本出顧香の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.) (Filing Date) (出類音) (出類日) (Filing Date) (出類音) (エ類音)

私は、私自身の知識に基ずいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基ずく表明が全て真実であると信じていること、さらに故意になされた成偽の表明及びそれと同等の行為は米国法典第18編第1001条に基ずき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による成偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく直番を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed 優先権主張なし

25/10/1999
(Day/Month/Year Filed)
(出版年月日)
26/07/2000
(Day/Month/Year Filed)
(出版年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (Filing Date) (出顧音号) (出顧日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented Pending Abandoned) (現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Japanese Language Declaration (日本語宣言書)

委任状: 私は下記の発明者として、本出額に関する一切の 手続きを米特許商標局に対して遂行する弁理士または代理人 として、下記の者を指名いたします。(弁護上、または代理 人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

普爾送付先

Aaron B. KARAS, Reg. No. 18,923; Samson HELROOTT, Reg. No. 23,072 and Leonard COOPER Reg. No. 27,625 Send Correspondence to:

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United States of America

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Helfgott & Karas, P.C. (212) 643-5000

| 唯一または第一発明者名 | | Full name of sole or first inventor Junichi MORIYAMA | | |
|-------------|----|--|--|--|
| 発明者の署名 | 用付 | Inventor's signature Date September 20, 2000 | | |
| 住所 | | Residence Kawasaki, Japan | | |
| 国存 | | Citizenship Japanese | | |
| 私書箱 | | Post Office Address C/O FUJITSU LIMITED, 1-1, Kamikodanaka | | |
| | | 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan | | |
| 第二共同発明者 | | Full name of second joint inventor, if any Tsutomu CHIKAZAWA | | |
| 第二共同発明者 | 日付 | Second inventor's signature Date September Section Why are 24, 2000 | | |
| 住所 | | Residence Yokohama, Japan | | |
| 国籍 | | Citizenship Japanese | | |
| 私書希 | | P&78"FUJITSU DIGITAL TECHNOLOGY LIMITED, | | |
| | | 3-9, Shinyokohama 2-chome, Kohoku-ku, Yokohama-shi, Kanagawa 222-0033 Japan | | |

(第三以降の共同発明者についても同様に記載し、署名をす ること)

(Supply similar information and signature for third and subsequent joint inventors.)

| 第三共同発明者 | | Full name of third jo inventor. if any Yoshihisa IKEDA |
|--------------------------|----|--|
| 第三共同発明者 | 日付 | Third inventor's signature Date Yoshihisw 1 keda September 28, 2000 |
| 住 所 | | Residence Osaka, Japan |
| 国 藉 | | Citizenship Japanese |
| 私書箱 | | Post Office Address C/O FUJITSU KANSAI-CHUBU NET-TECH LIMITED |
| | | 2-53, Shiromi 2-chome, Chuo-ku, Osaka-shi, Osaka 540-0001 Japan |
| 第四共同発明者 | | full name of fourth joint inventor if any Takanori YASUI |
| 第四共同発明者 | 日付 | Fourth inventor's signature September 28, 2000 Jakanori Grani |
| 住 所 | | Residence Osaka, Japan |
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| | | 2-53, Shiromi 2-chome, Chuo-ku, Osaka-shi, Osaka 540-0001 Japan |
| 第五共同発明者 | | Full name of fifth joint inventor, if any Atsuki TANIGUCHI |
| 第五共同発明者 | 日付 | Fifth inventor's signature Otsuki Tanigushi September 20, 2000 |
| 住 所 | • | Residence Kawasaki, Japan |
| 国籍 | | Citizenship Japanese |
| 私書箱 | | Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka |
| , | | 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan |
| | | Full name of sixth joint inventor, if any Masahiro SHIODA |
| 第六共同 尧明 者 | 日付 | Sixth inventor's signature Date Masshus Huda September 20, 2000 |
| 住 所 | | Residence Kawasaki, Japan |
| 国 籍 | | Citizenship Japanese |
| 私書箱 | | Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka |
| | | 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan |
| (第七以降の共同発明 記載し、署名をする。 | | (Supply similar information and signature for seventh and subsequent joint inventors.) |

FC.451.9902235-US UNITED STATES

ASSIGNMENT

Docket No. FUJS 17.902

| WHEREAS, the below named inventor, ASSIGNOR, has made a certain new and useful invention in : OPTICAL TRANSMITTING APPARATUS AND OPTICAL TRANSMITTING METHOD FOR |
|--|
| RING TRANSMISSION SYSTEM |
| for which |
| (check one) [X] an application is being filed concurrently herewith, |
| [] an application for a Patent of the United States was filed on |
| and WHEREAS, and WHEREAS, and WHEREAS, |
| having a place of business at 1-1, Kamikodanaka 4-chome, Nakahara-ku, |
| Kawasaki-shi, Kanagawa 211-8588 Japan ,ASSIGNEE |
| is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application: |
| NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNOR, has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filled for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument. |
| AND ASSIGNOR covenants and agrees that he has full right to convey the entire interest herein assigned, and has not executed, and will not execute, any agreement in conflict herewith. |
| AND ASSIGNOR further covenants and agrees that he will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries. |
| Junichi MORIYAMA Full name of sole or first inventor |
| Inventor's signature Menich: Question Date September 20, 2000 |
| Residence Kawasaki, Japan Citizenship Japanese |
| Post Office Address C/O FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome, Nakahara-ku, |
| Kawasaki-shi, Kanagawa 211-8588 Japan |
| Toutom. Olivagata |
| Full name of second joint inventor, if any Second Inventor's signature Second Inventor's signature Second Inventor's signature September 24, 2000 |
| Second Inventor's signature <u>future Chityana</u> pate September 24, 2000 Residence Yokohama, Japan Citizenship Japanese |
| Post Office Address C/O FUJITSU DIGITAL TECHNOLOGY LIMITED, 3-9, Shinyokohama 2-chome, |
| Kohoku-ku, Yokohama-shi, Kanagawa 222-0033 Japan |
| |
| Yoshihisa-IKEDA Full name of third joint inventor, if any |
| Third Inventor's signature Yashihase Ikeda Date September 28, 2000 |
| Residence Osaka, Japan Citizenship Japanese |
| Post Office Address C/O FUJITSU KANSAI-CHUBU NET-TECH LIMITED, 2-53, Shiromi 2-chome |

Chuo-ku, Osaka-shi, Osaka 540-0001 Japan

| J | any Takanori YASUI |
|---|--|
| Inventor's Signature Takanoni (4 | Date September 28, 2000 |
| Residence Osaka, Japan | |
| | Citizenship Japanese |
| Post Office Address c/o FUJITSU KANSAI | -CHUBU NET-TECH LIMITED, |
| 2-53, Shiromi 2-chome, Chuo-ku, Osak | ka-shi, Osaka 540-0001 Japan |
| Full name of fifth joint inventor, if | any Atsuki TANIGUCHI |
| Inventor's Signature Starker Tanig | mohi Date September 20, 2000 |
| Residence Kawasaki, Japan | |
| | Citizenship Japanese |
| Post Office Address C/O FUJITSU LIMITE | D, 1-1, Kamikodanaka |
| 4-chome, Nakahara-ku, Kawasaki-shi, K | Kanagawa 211-8588 Japan |
| Full name of sixth joint inventor, if | any Masahiro SHIODA |
| Inventor's Signature Marshum Sh | Date September 20, 2000 |
| Residence Kawasaki, Japan | |
| | Citizenship Japanese |
| Post Office Address c/o FUJITSU LIMITE | ED 1-1 Kamikodanaka |
| | D, I-I, Kanakodanaka |
| 4-chome, Nakahara-ku, Kawasaki-shi, | |
| 4-chome, Nakahara-ku, Kawasaki-shi, | Kanagawa 211-8588 Japan |
| 4-chome, Nakahara-ku, Kawasaki-shi, Full name of seventh joint inventor, i | Kanagawa 211-8588 Japan f any |
| 4-chome, Nakahara-ku, Kawasaki-shi, Full name of seventh joint inventor, i Inventor's Signature | Kanagawa 211-8588 Japan |
| 4-chome, Nakahara-ku, Kawasaki-shi, Full name of seventh joint inventor, i Inventor's Signature | Kanagawa 211-8588 Japan f any Date |
| 4-chome, Nakahara-ku, Kawasaki-shi, Full name of seventh joint inventor, i Inventor's Signature Residence | Kanagawa 211-8588 Japan f any |
| 4-chome, Nakahara-ku, Kawasaki-shi, Full name of seventh joint inventor, i Inventor's Signature Residence | Kanagawa 211-8588 Japan f any Date |
| 4-chome, Nakahara-ku, Kawasaki-shi, Full name of seventh joint inventor, i Inventor's Signature Residence Post Office Address | Kanagawa 211-8588 Japan f any Date Citizenship |
| 4-chome, Nakahara-ku, Kawasaki-shi, Full name of seventh joint inventor, i Inventor's Signature Residence Post Office Address Full name of eighth joint inventor, if | Kanagawa 211-8588 Japan f any Date Citizenship |
| 4-chome, Nakahara-ku, Kawasaki-shi, Full name of seventh joint inventor, i Inventor's Signature Residence Post Office Address Full name of eighth joint inventor, if Inventor's Signature | Kanagawa 211-8588 Japan f any Date Citizenship any |
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| Name (line 1) | Junichi MORIYAMA | | | 9/20/00 Yea |
| Name (line 2) | | | | Execution Date |
| Second Party Name (line 1) | Tsutomu CHIKAZAWA | | | Month Day Ye |
| Name (line 1) | I Summu CIIII (1271 W.) | ······································ | | |
| ······································ | | | | |
| Receiving P | | Ma | rk if additional names | s of receiving parties attached If document to be rec |
| Name (line 1) | FUJITSU LIMITED | | | is an assignment and receiving party is not |
| Name (line 2) | | | | domiciled in the Unite States, an appointme |
| Address (line 1) | 1-1, Kamikodanaka 4-chome, Na | kahara-ku, | | of a domestic representative is atta (Designation must be |
| Address (line 2) | Kawasaki-shi | | | separate document fi Assignment.) |
| Address (line 3) | Kanagawa | Japan | | 11-8588 |
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| Corresponde | ent Name and Addres | S Area Code and Telep | hone Number | (212)643-5000 |
| Name [| Helfgott & Karas, P.C. | | | |
| Address (line 1) | Empire State Building | | | |
| Address (line 2) | 60th Floor | | | |
| Address (line 3) | New York, New York 101 | 18 | | |
| Address (line 4) | Attorney Docket No.: FU | JS 17.902 | | |
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Aaron B. Karas

Name of Person Signing

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| Name (line 1) Yo | shihisa IKEDA | 9/28/00 | | |
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| Name (line 2) | | receiving party is not domiciled in the United | | |
| Addroso (to 4) | | States, an appointment of a domestic representative is attached. (Designation | | |
| Address (line 1) | | must be a separate document from | | |
| Address (line 2) | | Assignment) | | |
| Address (line 3) | | | | |
| • | City State/Country Zip Cod | е | | |
| Name (line 1) | | If document to be recorded is an assignment and the | | |
| Name (line 2) | | receiving party is not domiciled in the United | | |
| | | States, an appointment of a domestic representative is | | |
| Address (line 1) | | attached. (Designation must be a separate document from | | |
| Address (line 2) | | Assignment.) | | |
| Address (line 3) | | | | |
| Application N | City State/Country Zip C umber(s) or Patent Number(s) Mark if additional numbers attached | DOS | | |
| Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property). | | | | |
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